While representing himself or herself as a member of the Wharton community, the Wharton student will maintain the highest standards of honesty and integrity. The student will strive for these standards in his or her representations, academic pursuits, and respect for the property and individual rights of others; will uphold the specific principles described in the Code; and will actively support the Code.

- Wharton MBA Code of Ethics, 1989, 2005
As a Wharton MBA student you are expected to...

• Represent yourself truthfully
• Use honest methods in all pursuits
• Respect the individual rights and property of others

Prohibited behavior includes (but is not limited to)...

– Lying to a fellow student, faculty member, administrator, or prospective employer
– Misrepresenting the originality of one’s work (Plagiarism)
– Giving or receiving unauthorized aid on an assignment or exam
– Accessing, removing, or destroying information, materials, or other property belonging to another without first obtaining permission
– Utilizing for commercial gain any material provided to Wharton for educational purposes without first obtaining permission
Group Discussion:
Describe a time you behaved ethically when pressured to do otherwise…
Thought Exercise: Overtime

The exam clock expires, the proctor calls “time” then instructs all students to stop writing and bring their exams to the front of the class.

Bob does not comply. While his classmates pack up their belongings and bring their exams to the front of the room, Bob continues to write. Several students remind Bob that time has been called; he hears but chooses to ignore them.
Thought Exercise: Overtime

The exam clock expires, the proctor calls “time” then instructs all students to stop writing and bring their exams to the front of the class.

Bob does not comply. While his classmates pack up their belongings and bring their exams to the front of the room, Bob continues to write. Several students remind Bob that time has been called; he hears but chooses to ignore them.

If the proctor does not catch Bob, what impact will his behavior have…

• on the other students in the class
• on the community at large
• on himself
Thought Exercise: Overtime

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Bob does not comply. While his classmates pack up their belongings and bring their exams to the front of the room, Bob continues to write. Several students remind Bob that time has been called; he hears but chooses to ignore them.

If the proctor does catch Bob, what impact will his behavior have...
  • on the other students in the class
  • on the community at large
  • on himself
The Ethics Committee

Structure
• 9-18 Second-Year students elected by student body
  • Assisted by 4 First-Year Ethics Liaisons elected by each cluster

Function
• Promote ethics awareness and education through outreach programs and academic initiatives
• Oversee changes and modifications to Wharton Ethics Code
• Answer ethics-related questions
• Facilitate discussion about ethics between students and faculty
• Hear cases brought to the administration
  • Make recommendations regarding sanctions
Ethics Liaisons

Structure
• 4 First-Year Students elected by cluster (September)
• Work with Ethics Committee to address class specific issues, implement programming, and facilitate communication with the committee.

Function
• Serve as confidential sounding board and link between cluster, faculty, and Ethics Committee for any ethics-related questions and issues
• Assist Ethics Committee in various outreach initiatives
• Serve as a non-voting member in Ethics Committee hearings
Who brings a case to the committee and how?

Any member of the Wharton community

• Students
• Faculty
• Administrators/Staff

Complaints must be submitted in writing to

• Member of the Ethics Committee
• Administrator in the Graduate Division
  ▪ Administrative Liaison: Stephan Dieckmann

Complaints may be filed anonymously
What happens when a complaint is submitted?

**Code Advocate is assigned to the case**
- Advocate works with complainant and accused to build a file

**Ethics Committee hearing**
- Committee convenes to consider file and testimony (if necessary) of complainant and accused
- Committee deliberates to determine responsibility of accused
- Committee makes a recommendation regarding sanction

**Sanctions**
- If the accused is found in violation of the code sanctions are ultimately decided upon and levied by the administration
Administrative Sanctions include but are not limited to...

Failing grade
  • On an individual assignment
  • For the class
Notation of ethical violation on transcript
Community service
Supplemental assignments
Suspension
Expulsion
Intangible Sanctions include but are not limited to...

Loss of credibility & trust within the community
Damage to faculty perceptions of trust & accountability
Damage to the Wharton brand
<table>
<thead>
<tr>
<th>Materials</th>
<th>People</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>FNCE 611/614 Corporate Finance</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Approved calculator</td>
</tr>
<tr>
<td>Problem Sets</td>
<td>A</td>
</tr>
<tr>
<td>Exam Prep</td>
<td>A</td>
</tr>
<tr>
<td>Exams</td>
<td>A</td>
</tr>
</tbody>
</table>

A = Allowed material
Shaded Cell = Not allowed

**Other comments:**

(1) Problem set write-ups may be done in groups of up to 3 students. General discussion with others in class allowed. NO SHARING OF SPECIFIC ANSWERS FOR PROBLEM SETS WITH OTHER WORKING GROUPS. Problem set groups may include students in different sections.

The information above covers many common situations but will not cover every circumstance. Remember:

The Wharton MBA Code of Ethics that you accepted requires, among other things, that you represent yourself and your work honestly, don’t try to gain unfair advantage over other students, follow the instructor’s guidelines and respect confidentiality of your work and the work of others.

Should you have questions, please contact your Ethics Representative or professor.
Thought Exercise: Plagiarism

Professor Mehta is getting ready to grade students’ final papers. Before reading anything she uploads all sixty papers to turnitin.com. Fifty-nine come back fine however one shows 61% similarity with other sources. When Professor Mehta reviews the report she sees that large sections are copied and pasted directly from the internet...

A software platform that “check’s students’ work for potential plagiarism by comparing it against the world’s largest academic database.”
Proper Citation

What should I cite?
Anything you put in a paper or presentation that is not your original work
or common knowledge

books magazines charts lyrics
data speeches interviews tables
articles newspapers films graphs
websites recordings e-mails
Proper Citation

What should I cite?
Anything you put in a paper or presentation that is not your original work or common knowledge

Why should I cite?*
– To show your readers that you have done your research.
– To give credit to others for work they have done.
– To point your readers to sources that may be useful to them.
– To allow your readers to check your sources, if there are questions.

How do I cite?*
– Use the same style throughout
– Refer to the course’s syllabus
– Ask your instructor

* - http://www.upenn.edu/academicintegrity/ai_citingsources.html
Citation Quiz

The Wharton School of the University of Pennsylvania was founded in 1881.

Common knowledge – no need to cite
The Laffer Curve says that at this point on the revenue curve you will get exactly the same amount of revenue as at this point. This is very controversial. In 1980, George H.W. Bush called this “Voodoo” economics.


“The Laffer Curve. Anyone know what this says? It says that at this point on the revenue curve, you will get exactly the same amount of revenue as at this point. This is very controversial. Does anyone know what Vice President Bush called this in 1980? Anyone? Something-d-o-o economics. “Voodoo” economics.”


Plagiarism – no citation
... also paraphrased text must be substantively distinct
### Citation Quiz

**Student submission**

The Federal Reserve System, which serves as the nation's central bank, was created by an act of Congress on December 23, 1913. The System consists of a seven-member Board of Governors with headquarters in Washington, D.C., and the 12 Reserve Banks located in major cities throughout the United States.

The Federal Reserve Banks are the operating arms of the central banking system, carrying out a variety of System functions, including operating a nationwide payment system; distributing the nation's currency and coin…¹

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**Web content**

The Federal Reserve System, which serves as the nation's central bank, was created by an act of Congress on December 23, 1913. The System consists of a seven-member Board of Governors with headquarters in Washington, D.C., and the 12 Reserve Banks located in major cities throughout the United States.

The Federal Reserve Banks are the operating arms of the central banking system, carrying out a variety of System functions, including operating a nationwide payment system; distributing the nation's currency and coin…

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**Plagiarism** – When using the words of another “enclose them with quotation marks or indent the quote on both sides of the margin.”

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* - http://gethelp.library.upenn.edu/PORT/documentation/plagiarism_policy.html
Why do people cheat?

“Our confidence in our own integrity is frequently overrated. Good people unknowingly contribute to unethical actions…”

- Max Bazerman & Ann Tenbrunsel*

1 People are more likely to cheat when they are tired.1
   • As an MBA student you are going to be tired… a lot.

2 Repeatedly resisting the urge to cheat depletes one’s ability to do so.1
   • Surround yourself with ethical people.

2 People are more likely to cheat when they think there is “abundant wealth”2
   • Grades and LTs are assigned on relative performance.

1 Gino, Francesca and Schweitzer, Maurice and Mead, Nicole and Ariely, Dan (July, 2011). Unable to resist temptation: How self-control depletion promotes unethical behavior. Organizational Behavior and Human Decision Processes 115 (2)
Why do people cheat?

“Our confidence in our own integrity is frequently overrated. Good people unknowingly contribute to unethical actions…”

- Max Bazerman & Ann Tenbrunsel*

• “This above all - to thine own self be true”
  - William Shakespeare, *The Tragedy of Hamlet, Prince of Denmark*
  
  • Be honest about your study habits
  • Ask for help

• Get organized
  • Review syllabi at the start of the semester
  • Note key due dates
  • Develop a plan that includes deadlines & short-term goals
The mission of the Wharton School Graduate Division Code of Ethics ("Code") is to promote the growth of ethically responsible business managers at the Graduate Division of the Wharton School ("Wharton") through adherence to the highest standards of academic integrity and overall ethical conduct, to develop a sense of individual responsibility on the part of each member of the Wharton community to participate actively in maintaining such standards, to foster an environment of honor and trust within the Wharton community, and to engender respect for the ethical standards of the Wharton graduate. Fulfilling this mission is a collective responsibility of the members of the Wharton community.

- Wharton MBA Code of Ethics, 1989, 2005
Ethical behavior beyond the classroom

- Lying on a resume
- Reneging on an offer of employment
- Misuse of funds
- Tampering with the contents of mail folders or lockers
- Misusing proprietary information for personal gain

As a Wharton MBA student you are expected to...

- Represent yourself truthfully
- Use honest methods in all pursuits
- Respect the individual rights and property of others
WHARTON CONNECT APP
WHARTON CONNECT APP

- Check in to Classes
- Find Your Classmates in the Wharton Directory
- Reserve Group Study Rooms (GSRs)

Download the “Wharton Connect” App from Apple or Google Play App Stores. For those without compatible mobile devices, use:

whr.tn/wh-connect

Log in using your PennKey
Checking In to Class Using the Wharton Connect App

- You must be physically present in the classroom to check-in.
- Check-in opens ten minutes before class and closes at the end of class.
- Students checking in late will be considered absent.
- At the end of the day students marked absent will receive an email notification.
CHECK-IN TEST
The Attendance App

- “Check in” to select core courses
- GPS data is not collected
- Ethical behavior assumed

As a Wharton MBA student **you** are expected to...

- Represent yourself truthfully
- Use honest methods in all pursuits
- Respect the individual rights and property of others

Download the “Wharton Connect” app from Apple or Google Play App Stores or access from another device at whr.tn/wh-connect.
HOW TO RESPOND TO ABSENCES: STEP 1

The image shows a screenshot of a course management system from Wharton University of Pennsylvania. The system displays a list of courses for the fall semester, including their codes, names, days, times, and locations. One course, FNCE611002 (8/26/13 - 12/5/13), is highlighted to indicate that it requires attendance in the fixed core. A note states that the MBA Program Office is requiring attendance in the fixed core and suggests contacting the MBA Program with any questions.
How to respond to absences: Step 2

SUBMIT REASON FOR CLASS ABSENCE

The MBA Program Office is requiring attendance in the fixed core. Please contact the MBA Program with any questions. The following are the class dates in which you have been marked absent.

<table>
<thead>
<tr>
<th>Section ID</th>
<th>Class Date</th>
<th>Excused</th>
<th>Absence Reason</th>
</tr>
</thead>
<tbody>
<tr>
<td>MGEC612012</td>
<td>10/22/2013</td>
<td>No</td>
<td>Submit Absence Reason</td>
</tr>
<tr>
<td>OPIM611022</td>
<td>10/28/2013</td>
<td>No</td>
<td>Submit Absence Reason</td>
</tr>
<tr>
<td>OPIM611022</td>
<td>11/18/2013</td>
<td>No</td>
<td>Submit Absence Reason</td>
</tr>
</tbody>
</table>
HOW TO RESPOND TO ABSENCES: STEP 3

• Click on the drop down menu
• If you were sick or had a personal emergency, you can just click that option.
• For all other absences or for erroneous absences, click “Other” and fill in an explanation in the text box below.